

the Application of: TSURUMI, Toru, et al.

Attention: Applications Division

Serial Number: 10/533,963

Group Art Unit: 1742

Filed: May 4, 2005

P.T.O. Confirmation No.: 8041

For:

INORGANIC FINE PARTICLES, INORGANIC RAW MATERIAL POWDER

AND PROCESS FOR PREPARING THE SAME

# SECOND REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Date: July 30, 2007

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the aboveidentified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted errors in that the third Assignee listed in the Assignment For Published Patent Application is incorrect and should read as --TORAY INDUSTRIES, INC., Tokyo 103-8666, JAPAN- -. A copy of the Assignment is enclosed which indicates the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

KRATZ, QUINTOS & HANSON, LLP

Mel R. Quintos Attorney for Applicants Reg. No. 31,898

MRQ/lrj Atty. Docket No. 050282 Suite 400 1420 K Street, N.W. Washington, D.C. 20005 (202) 659-2930

PATENT TRADEMARK OFFICE

Enclosures: Official Filing Receipt and Assignment



## United States Patent and Trademark Office

United States Patent and Trademark Office
Address. COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandra, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	TOT CLMS	IND CLMS
10/533,963	05/04/2005	1754	900 .	050282	13	3

23850 ARMSTRONG, KRATZ, QUINTOS, HANSON & BROOKS, LLF 1725 K STREET, NW SUITE 1000 WASHINGTON, DC 20006

CONFIRMATION NO. 8041
CORRECTED FILING RECEIPT
2 2000000000023954838\*

JUL 3 0 2007

Date Mailed: 05/18/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Toru Tsurumi, Kyoto, JAPAN; Masaki Yoshino, Otsu-shi, JAPAN; Mineo Sabi, Omihachiman-shi, JAPAN; Fumio Yoshida, Yokkaichi-shi, JAPAN; Satoshi Ishida, Yokkaichi-shi, JAPAN; Minoru Yonehara, Neyagawa-shi, JAPAN; Toshiaki Kimura, Suita-shi, JAPAN; Atsuyoshi Kubotani, Nishinomiya-shi, JAPAN;

### **Assignment For Published Patent Application**

DAI-ICHI KOGYO SEIYAKU CO. LTD, Kyoto 600-8873, JAPAN PULTECH CORPORATION, Kyoto 600-8873, JAPAN TORY INDUSTRIES, INC., Tokyo 103-8666, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 23850.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/14128 11/06/2003

### Foreign Applications

JAPAN 2002-325655 11/08/2002

If Required, Foreign Filing License Granted: 05/18/2007

(8/0)

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/533,963

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

Inorganic fine particles, inorganic raw material powder and process for preparing the same

**Preliminary Class** 

423

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

## **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

## U.S. ASSIGNMENT

(Insert ASSIGNEE's Name(s) Address(es))

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR) by DAI-ICHI KOGYO SEIYAKU CO., LTD. of 55, Nishishichijo Higashikubo-cho, Shimogyo-ku, Kyoto-shi, Kyoto 600-8873 Japan;

PULTECH CORPORATION of 55, Nishishichijo Higashikubo-cho, Shimogyo-ku, Kyoto-shi, Kyoto 600-8873 Japan; and

TORAY INDUSTRIES, INC. of 2-1, Nihonbashi Muromachi 2-chome, Chuo-ku, Tokyo 103-8666 Japan

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled:

(Title of Invention)

INORGANIC FINE PARTICLES, INORGANIC RAW MATERIAL POWDER AND

PROCESS FOR PREPARING THE SAME

(\*If the assignment is' being filed after the filing of the application, this section must be completed) for which application for Letters Patent of the United States was executed on even date herewith unless otherwise indicated below:

\* filed on \_\_\_\_\_, Serial No. \_\_\_\_\_

(Armstrong, Kratz, Quintos, Hanson & Brooks, LLP is hereby authorized to insert the serial code, serial number and/or filing date hereon, when known)

and all Letters Patent of the United States to be obtained therefor on said application or any continuation, divisional, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with the application and any continuation, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, divisional, reissue or reexamination thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

(Signatures)

Tora Travaini	Toru TSURUMI	April 6, 2005
(Signature)	(Type Name)	(Date)
Masaki Yoshino	Masaki YOSHINO	_ April 6, 2005
(Signature)	(Type Name)	(Date)
		•
(Signature)	(Type Name)	(Date)
(Signature)	(Type Name)	(Date)
		·
(Signature)	(Type Name)	(Date)
(Signature)	(Type Name)	(Date)

# U.S. ASSIGNMENT

(Insert ASSIGNEE's Name(s) Address(es))

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR) by DAI-ICHI KOGYO SEIYAKU CO., LTD. of 55, Nishishichijo Higashikubo-cho, Shimogyo-ku, Kyoto-shi, Kyoto 600-8873 Japan;

PULTECH CORPORATION of 55, Nishishichijo Higashikubo-cho, Shimogyo-ku, Kyoto-shi, Kyoto 600-8873 Japan; and

TORAY INDUSTRIES, INC. of 2-1, Nihonbashi Muromachi 2-chome, Chuo-ku, Tokyo 103-8666 Japan

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled:

(Title of Invention)

INORGANIC FINE PARTICLES, INORGANIC RAW MATERIAL POWDER AND

PROCESS FOR PREPARING THE SAME

(\*If the assignment is being filed after the filing of the application, this section must be completed) for which application for Letters Patent of the United States was executed on even date herewith unless otherwise indicated below:

\* filed on \_\_\_\_\_, Serial No. \_\_\_\_\_.

(Armstrong, Kratz, Quintos, Hanson & Brooks, LLP is hereby authorized to insert the serial code, serial number and/or filing date hereon, when known)

and all Letters Patent of the United States to be obtained therefor on said application or any continuation, divisional, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with the application and any continuation, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, divisional, reissue or reexamination thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

(Signatures)

Mineo SABI April 4, 2005 (Type Name) (Date) Fumio YOSHIDA (Type Name) (Date) Satishi ISHIDE Satoshi ISHIDA April 4, 2005 (Type Name) (Date) M. Yonehavo Minoru YONEHARA April 4, 2005 (Signature) (Type Name) (Date) Toshiaki KIMURA April 4, 2005 (Type Name) (Date) Atsuyoshi KUBOTANI April 4, 2005 (Signature) (Type Name) (Date)